

## **Securities and Exchange Act B.E. 2535**

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**Section 96.** The SEC may or may not require a securities company to have a paid-up registered capital in an amount specified for operation of any particular category of securities business, except for a security company operating securities business in the category of securities dealing or securities underwriting or operating securities business in any of the following manners, for which the SEC shall specify the paid-up registered capital of at least one hundred million baht:

- (1) Keeping the client's assets in its possession;
- (2) Investing in or holding securities for investment by the securities company itself;
- (3) Bearing a responsibility for the clearing and settlement for securities.

**(As amended by Section 5 of the Securities and Exchange Act (No.2) B.E. 2542)**

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