

**UNOFFICIAL TRANSLATION**

*Readers should be aware that only the original Thai text has legal force, and that this English translation is strictly for reference.*

**Notification of the Securities and Exchange Commission  
No. Kor Thor. 7/2539**

**Re: Shareholders' Structure and Shareholding Proportion of a Limited Company or  
Public Limited Company Which Is Established to Undertake  
Securities Business in the Category of Securities Finance**

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By virtue of Section 14 of the *Securities and Exchange Act B.E. 2535 (1992)*, in conjunction with Clause 4 of the *Ministerial Regulation No. 7 (B.E. 1996)* issued under the *Securities and Exchange Act B.E. 2535 (1992)*, the Securities and Exchange Commission hereby issues the following regulations:

**Clause 1** The shareholders' structure and the shareholding proportion of a limited company or a public limited company which is established to undertake securities business in the category of securities finance shall be as follows:

- (1) a financial institution under the *Law on Loan Interest of Financial Institutions* shall hold at least seventy-five percent of the total shares sold; and
- (2) the Ministry of Finance shall hold at least ten percent of the total shares sold.

**Clause 2** This Notification shall come into force on the following day of its publication in the Government Gazette.

Notified this 13rd day of July 1996.

Mr. Bodee Junnanon  
Chairman  
Securities and Exchange Commission