

DERIVATIVES ACT

B.E. 2546 (2003)

SECTION 9. The SEC shall have the power and duty to formulate policies, to promote and develop, as well as to supervise, matters concerning derivatives (contract), derivatives business, derivatives exchange, derivatives clearing house, regulatory association of derivatives business operators and prevention of unfair derivatives trading practices. Such power and duty shall include:

- (1) the issuance of rules, regulations, notifications, orders, directives or stipulations under this Act;
 - (2) the determination of application fees, license fees, registration fees, approval fees or operating fees;
 - (3) the determination of scope and practices as regards the duties of the subcommittees and relevant officers under this Act;
 - (4) the prescription of a guideline for consideration of any problematic issues which may arise from the enforcement of this Act.
-