

(Translation)

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**Notification of the Securities and Exchange Commission  
No. SorThor. 8/2548  
Re: Operational Control and Security for Information Technology  
of a Licensed Derivatives Broker.**

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By virtue of the second paragraph of Clause 7 of the Notification of the Securities and Exchange Commission No. KorThor. 65/2547 Re: Rules for Undertaking Derivatives Business for Licensed Derivatives Broker dated 22 December 2004, The Office of the Securities and Exchange Commission hereby issues the following regulations:

**Clause 1.** In this Notification:

“derivatives broker” means a juristic person licensed to undertake derivatives business in the category of derivatives broker.

**Clause 2.** The Notification of the Office of Securities and Exchange No. SorThor/Nor. 34/2547 Re: Operational Control and Security for Information Technology of a Securities Company dated 20 July 2004 and The Notification of the Office of Securities and Exchange No. OrThor/Nor. 5/2547 Re: Guidelines on Operational Control and Security for Information Technology of a Securities Company dated 20 July 2004 issued in accordance with the Securities and Exchange Act B.E. 2535 (1992) shall apply *mutatis mutandis* to a derivative broker in respect of providing operational control and security for information technology .

**Clause 3.** This Notification shall come into force as from 1 May 2005.

Notified this 1<sup>st</sup> day of April 2005.

Thirachai Phuvanatanarubala  
(Mr. Thirachai Phuvanatanarubala)  
Secretary-General  
The Office of the Securities and Exchange Commission