

(Translation)

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**The Office of the Securities and Exchange Commission**

Notification of the Office of the Securities and Exchange Commission  
No. SorBor. 40/2549  
Re: Determination of Fees for Filing, Registration and Other Applications  
(No. 5)

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By virtue of Section 19 (3) of the Securities and Exchange Act B.E. 2535 (1992), the Office of the Securities and Exchange Commission hereby issues the following regulations:

**Clause 1.** The provision in Clause 13 of the notification of the Office of the Securities and Exchange Commission No. SorBor. 28/2547 Re: Determination of Fees for Filing, Registration and Other Applications dated 7 July 2004 as amended by the notification of the Office of the Securities and Exchange Commission No. SorBor. 16/2548 Re: Determination of Fees for Filing, Registration and Other Applications (No. 3) dated 22 June 2005 shall be repealed and replaced with the followings;

“Clause 13. The application fee and the renewal fee for approval for investor contact according to the notification of the Office of the Securities and Exchange Commission governing approval for investor contacts and standards of conduct shall be at a rate as follows:

- (1) The application for approval Baht 2,000 per application;
- (2) The renewal application for approval Baht 1,000 per application”

**Clause 2.** This Notification shall come into force as from 1 January 2007.

Notified this 19<sup>th</sup> day of December 2006.

-Signature-

(Mr. Thirachai Phuvanatanarubala)  
Secretary-General  
The Office of the Securities and Exchange Commission

Note:

In supervision of investor contacts, the screening of the applicant's qualifications, the verification of qualifications and competency in performing duties of investor contact shall be carried out on an on-going basis to ensure investors of good services in accordance with standards of conduct. It deems suitable to increase the application fee and determine the renewal fee for approval to compensate the costs occurred from the aforesaid process.