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Notification of the Office of the Securities and Exchange Commission

No. SorBor. 29/2550

Re: Determination of Fees for Filing, Registration and Other Applications

(No. 10)

By virtue of section 19 (3) of the Securities and Exchange Act B.E. 2535 (1992), the Office of the Securities and Exchange Commission hereby issues the following regulation:

Clause 1. The following provision shall be added as Clause 25/1 of the Notification of the Office of the Securities and Exchange Commission No. SorBor. 28/2547 Re: Determination of Fees for Filing, Registration and Other Applications dated 7 July 2004:

“Clause 25/1. The Application for registration as a person qualified as fund manager pursuant to the Notification of the Office of the Securities and Exchange Commission concerning rules, conditions and procedures for appointment and conduct of fund manager is Baht 15,000 per application. The first Baht 5000 shall be paid on the date of submission of the application to the Office. The remaining amount of Baht 10,000 shall be paid within ten days from the date on which the Office informs that the applicant meets qualifications and does not have the prohibited characteristics.

The application for maintaining registration status as a person qualified as fund manager pursuant to the Notification of the Office of the Securities and Exchange Commission concerning rules, conditions and procedures for appointment and conduct of fund manager is Baht 10,000 per application.”

Clause 2. This Notification shall come into force as from 1 January 2008.

Notified this 17th day of October 2007.

(Mr. Thirachai Phuvanatanarubala)
Secretary-General
Office of the Securities and Exchange Commission