

(Unofficial Translation)

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**Notification of the Securities and Exchange Commission**

**No. KorThor/Nor/Khor. 4/2551**

**Re: Amount of Damages Arising from Derivatives Transaction  
for Settlement by Arbitration**

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By virtue of Section 9 and the second paragraph of Section 47 of the Derivatives Act B.E. 2546 (2003) which contain certain provisions relating to the restriction of rights and liberties of persons, which Section 29, in conjunction with Section 33, Section 34, Section 36, Section 41, Section 43 and Section 45 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the Securities and Exchange Commission hereby issues the following regulations:

**Clause 1.** The civil dispute incidental to or resulted from the derivatives business, which the customer of the derivatives business operator may refer to settle by means of arbitration as organized by the SEC Office, shall be the dispute that represents the amount of damages claimed by each customer not more than one million baht.

**Clause 2.** This Notification shall come into force as from 16 April 2008.

Notified this 2<sup>nd</sup> day of April 2008.

(Mr.Surapong Suebwonglee)  
Minister of Finance  
Chairman of the Securities and Exchange Commission

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**Remarks:** The reason for issuing this Notification is to determine the maximum amount of damages claimed in order to ensure that the arbitration serves as an alternative dispute resolution for individual investors in accordance with the purpose of the Derivatives Act B.E. 2546 (2003).