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**Notification of the Securities and Exchange Commission**

**No. KorKhor. 6/2551**

**Re: Determination of Paid-Up Registered Capital of Person Applying for Securities Business License under Ministerial Regulation Concerning Granting of License for Undertaking Securities Business B.E. 2551 (2008)**

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By virtue of Section 14 of the Securities and Exchange Act B.E. 2535 (1992) as amended by the Securities and Exchange Act (No.4) B.E. 2551 (2008), and Clause 11(1) of the Ministerial Regulation Concerning Granting of License for Undertaking Securities Business B.E. 2551 (2008), the Securities and Exchange Commission hereby issues the following regulations:

**Clause 1.** A person applying for securities business license type A (๙) shall have paid-up registered capital of not less than the amount as follows:

- (1) One hundred million baht for the application submitted within 31 December 2011;
- (2) Five hundred million baht for the application submitted from 1 January 2012 onwards;

**Clause 2.** A person applying for securities business license type B (๙), securities business license type C (๙), securities business license type D (๙), or securities business license in the category of securities borrowing and lending shall have paid-up registered capital of not less than one hundred million baht.

**Clause 3.** A person applying for securities business license in the category of investment advisory service or venture capital management shall have paid-up registered capital of not less than one million baht.

**Clause 4.** This Notification shall come into force as from 1 June 2008.

Notified this 8<sup>th</sup> day of May 2008.

(Mr.Surapong Suebwonglee)

Minister of Finance

Chairman of the Securities and Exchange Commission