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**Notification of the Securities and Exchange Commission**

**No. KorKhor. 10/2551**

**Re: Determination of Other Financial Institutions Applying for Securities Business Licenses pursuant to the Ministerial Regulation Concerning Granting of Approval for Undertaking Securities Business B.E. 2551 (2008)**

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By virtue of Section 14 of the Securities and Exchange Act B.E 2535 (1992) as amended by the Securities and Exchange Act (No.4) B.E.2551 (2008) in conjunction with Clause 4 (4), Clause 5 (3), Clause 6 (5), Clause 7 (4), Clause 8 (4) and Clause 9 (3) of the Ministerial Regulation Concerning Granting of Approval for Undertaking Securities Business B.E.2551 (2008), the Securities and Exchange Commission hereby issues the following regulation:

**Clause 1.** Financial institutions established under a specific law shall apply for the following securities business licenses:

- (1) securities business license type A (ก);
- (2) securities business license type B (ข);
- (3) securities business license type C (ค);
- (4) securities business license type D (ด);
- (5) securities business license in the category of investment advisory service;
- (6) securities business license in the category of securities borrowing and lending.

**Clause 2.** This notification shall come into force as from 1 August 2008.

Notified this 16<sup>th</sup> Day of July 2008.

- Signature -

(Mr. Vijit Supinit)

Chairman of the Securities and Exchange Commission