

(UNOFFICIAL TRANSLATION)

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Notification of the Office of the Securities and Exchange Commission
No. SorBor. 51/2552
Re: Determination of Fees for Filing, Registration and Other Applications
(No. 20)

By virtue of section 19 (3) of the Securities and Exchange Act B.E. 2535 (1992) as amended by the Securities and Exchange Act (No. 4) B.E. 2551 (2008) which contains certain provisions in relation to the restriction of rights and liberties of persons, in respect of which section 29 in conjunction with section 33, section 34, section 41, section 43, section 44 and section 64 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the Office of Securities and Exchange Commission hereby issues the following regulation:

Clause 1. The provision of Clause 25/1 2) of the Notification of the Office of the Securities and Exchange Commission No. SorBor. 28/2547 Re: Determination of Fees for Filing, Registration and Other Applications dated 7 July 2004 as amended by the Notification of the Office of the Securities and Exchange Commission No. SorBor. 3/2552 Re: Determination of Fees for Filing, Registration and Other Applications (No.18) dated 23 January 2009 shall be repealed and replaced by the following provision:

“Clause 25/1 The fee for the following applications shall be at a rate of 15,000 Baht, the applicant shall pay to the Office Baht 5,000 on the date of submission of the application and Baht 10,000 Baht within ten days as from the date the Office notify that the applicant is qualified and has no prohibited characteristics:

(1) The application for approval to be listed in the register of person qualified to be fund manager according to the Notification of the Office of the Securities and Exchange Commission governing rules, conditions, and procedures for appointment and conduct of fund manager;

(2) The application for approval to be listed in the register of person qualified to be property fund manager according to the Notification of the Office of the Securities and Exchange Commission governing rules, conditions, and procedures for approval of property fund manager;

The application for renewal according to the first paragraph	Baht 10,000 per application
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The request for letter of certification of approval according to the first paragraph	Baht 200 per request”
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Clause 2. This Notification shall come into force as from 1 January 2010.

Notified this 14th day of October 2009.

-Signature-

(Thirachai Phuvanatanarubala)
Secretary-General
Office of the Securities and Exchange Commission

Note: reason for issuing this Notification is to revise the provision relating to being fund manager which have changed from the maintenance of status to be the renewal of approval, so it is deemed expedient to issue this Notification.