

(UNOFFICIAL TRANSLATION)

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Notification of the Securities and Exchange Commission
No. KorThor. 12/2553
Re: Determination of Undertaking not Deemed as a Derivatives Dealer

By virtue of Section 3 and Section 9 of the Derivatives Act B.E. 2546 (2003) which contains certain provisions relating to the restriction of rights and liberties of persons, which Section 29 in conjunction with Section 33, Section 34, Section 36, Section 41, Section 43 and Section 45 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the Securities and Exchange Commission hereby issues the following regulations:

Clause 1. The Notification of the Securities and Exchange Commission No. KorChor. 45/2547 Re: Determination of Undertaking not Deemed as a Derivatives Dealer dated 8 October 2004 shall be repealed.

Clause 2. Person who offers to trade or trades in derivatives for his own account with derivatives business operator in the category of derivatives dealer shall not be deemed as derivatives business operator in the category of derivatives dealer.

Clause 3. This Notification shall come into force as from 1 August 2010.

Notified this 15th day of July 2010.

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(Vijit Supinit)

Chairman

Securities and Exchange Commission

Remark: The rationale for issuing this Notification is to determine an undertaking not deemed as a derivatives dealer to cover offer to trade or trade in derivatives for his own account with person licensed as derivatives dealer in order to support issuance of license to operate derivatives business in the category of derivatives dealer.