

(UNOFFICIAL TRANSLATION)

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**Notification of the Securities and Exchange Commission  
No. KorDor. 15/2553  
Re: Determination of Fees for Undertaking Business of  
Licensed Derivatives Exchange**

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By virtue of Section 9(2) of the Derivatives Act B.E. 2546 (2003) which contain certain provisions relating to the restriction of rights and liberties of persons which Section 29 in conjunction with Section 33, Section 34, Section 36, Section 41, Section 43, and Section 45 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the Securities and Exchange Commission, upon approval of the Cabinet, hereby issues the following regulations:

**Clause 1.** Licensee to undertake business of derivatives exchange shall pay the SEC Office Baht 2,000,000 annual fee for business undertaking within 31 January of every year except the first year of license, the licensee shall pay fee on effective date of license.

In case where the residual period of the first calendar year of license to undertake the business is less than six months, the licensee shall pay half of the fee for business undertaking as specified in the first paragraph.

**Clause 2.** This Notification shall come into force as from 1 January 2011.

Notified this 22<sup>nd</sup> day of October 2010.

-signature-

(Vijit Supinit)

Chairman

Securities and Exchange Commission

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**Remark:** The rationale for issuing this Notification is to determine fee for business undertaking for licensee to undertake business of derivatives exchange to be in consistent with cost in connection with supervision on such business borne by the SEC Office.