

(UNOFFICIAL TRANSLATION)

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**Notification of the Office of the Securities and Exchange Commission
No. SorNor. 46/2553
Re: Establishment of Branch Office and Serving Customers by an Asset
Management Company outside Its Office Premises and Office Hours
(No. 2)**

By virtue of Section 98(6) and Section 110 of the Securities and Exchange Act B.E. 2535 (1992) which contains certain provision in relation to the restriction of rights and liberties of persons which Section 29 in conjunction with Section 33, Section 34, Section 41, Section 43, Section 44 and Section 64 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the SEC Office hereby issues the following regulations:

Clause 1. Provision in Clause 13 of the Notification of the Office of Securities and Exchange Commission No. SorNor. 5/2550 Re: Establishment of Branch Office and Serving Customers by an Asset Management Company outside Its Office Premises and Office Hours dated 29 May 2007 shall be repealed and replaced by the following provision:

“Clause 13 Management company may temporarily accept purchase or sale orders of securities outside the premises of the head office or a branch office”

Clause 2. This Notification shall come into force as from 16 November 2010.

Notified this 4th day of November 2010.

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(Thirachai Phuvanatanarubala)
Secretary-General

Office of the Securities and Exchange Commission

Remark: The rationale for issuing this Notification is to repeal the requirements relating to opening hours other than the normal opening hours of management company by requiring management company comply with the Notification of the Office of the Securities and Exchange Commission concerning office hours and holidays of securities companies where the requirements under such Notification have already covered such matters.