

**TRANSLATED VERSION**

As of December 2, 2010

*Readers should be aware that only the original Thai text has legal force and that this English translation is strictly for reference.*

**Notification of the Securities and Exchange Commission**

**No. KorDor. 21/2553**

**Re: Determining Additionally Referred Underlying  
of a Derivatives in Type of Goods and Variables**

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By virtue of Section 3 and Section 9 of the *Derivatives Act B.E. 2546 (2003)*, which contain certain provisions relating to the restriction of rights and liberties of persons which Section 29, in conjunction with Section 33, Section 34, Section 36, Section 41, Section 43 and Section 45 of the *Constitution of the Kingdom of Thailand* so permit by virtue of law, the Securities and Exchange Commission by obtaining the permission from the Cabinet hereby issues the following regulations:

**Clause 1** The following assets are in the scope of “goods” as prescribed in Section 3 [of the *Derivatives Act B.E. 2546 (2003)*]:

- (1) silver;
- (2) platinum;
- (3) copper;
- (4) zinc;
- (5) iron;
- (6) aluminum;
- (7) tin;
- (8) coal;
- (9) natural gas;
- (10) electricity;
- (11) plastic;

**Clause 2** The following variables are in the scope of “variables” as prescribed in Section 3 [of the *Derivatives Act B.E. 2546 (2003)*]:

- (1) freight;
- (2) carbon credit;
- (3) commodity index.

**Clause 3** This Notification shall come into force as from 16 December 2010.

Notified this 2<sup>nd</sup> day of December 2010

- signature-  
(Mr. Vijit Supinit)  
Chairman  
Securities and Exchange Commission

Note : please note that the contents added in brackets [...] have only been provided in the English version for clearer understanding.