

(UNOFFICIAL TRANSLATION)

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**Notification of the Office of the Securities and Exchange Commission**  
**No. SorThor. 20/2554**  
**Re: Calculation and Report of Net Liquid Capital Calculation**  
**(No. 5)**  
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By virtue of the third paragraph of Clause 3 of the Notification of the Securities and Exchange Commission No. KorThor. 18/2549 Re: Maintenance of Net Capital dated 2 May 2006, the SEC Office hereby issues the following regulations:

**Clause 1.** Provision on page 1 and page 51 of the explanation for net capital under Form Bor.Lor. 4/1 attached to the Notification of the Office of the Securities and Exchange Commission No. SorThor. 23/2549 Re: Calculation and Report of Net Liquid Capital Calculation dated 8 May 2006 shall be repealed and replaced by the provision on page 1 and page 51 of the explanation attached to this Notification.

**Clause 2.** Provision on page 52 of the explanation for net capital under Form Bor.Lor. 4/1 attached to the Notification of the Office of the Securities and Exchange Commission No. SorThor. 23/2549 Re: Calculation and Report of Net Liquid Capital Calculation dated 8 May 2006 which amended by the Notification of the Office of the Securities and Exchange Commission No. SorThor. 2/2552 Re: Calculation and Report of Net Liquid Capital Calculation (No. 3) dated 14 January 2009 shall be repealed and replaced by the provision on page 52 of the explanation attached to this Notification.

**Clause 3.** Provision on page 6, page 17 and page 45 of the explanation for net capital under Form Bor.Lor. 4/1 attached to the Notification of the Office of the Securities and Exchange Commission No. SorThor. 23/2549 Re: Calculation and Report of Net Liquid Capital Calculation dated 8 May 2006 which amended by the Notification of the Office of the Securities and Exchange Commission No. SorThor. 2/2552 Re: Calculation and Report of Net Liquid Capital Calculation (No. 4) dated 22 January 2010 shall be repealed and replaced by the provision on page 6, page 17 and page 45 of the explanation attached to this Notification.

**Clause 4.** This Notification shall come into force as from 1 July 2011.

Notified this 23<sup>rd</sup> Day of June 2011.

-signature-

(Thirachai Phuvanatanarubala)  
Secretary-General  
Office of the Securities and Exchange Commission