

(UNOFFICIAL TRANSLATION)

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**Notification of the Office of the Securities and Exchange Commission**  
**No. SorChor. 38/2554**  
**Re: Special Extension for Approved Status of Auditor, Financial Advisor and Compliance**  
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Whereas Section 61, Section 89, Section 106, Section 199 and Section 217 of the Securities Exchange Commission Act B.E. 2535 (1992), Section 140 of the Securities and Exchange Act B.E. 2535 (1992) as amended by the Securities and Exchange Act (No. 2) B.E. 2542 (1999) and Section 59 of the Trust for Transactions in the Capital Market Act B.E. 2550 (2007), the Notification of the Capital Market Supervisory Board relating to issuance and offer for sale of securities, the Notification of the Capital Market Supervisory Board relating to establishment and management of mutual fund and the Notification of the Capital Market Supervisory Board relating to business takeover which require auditor, financial advisor and compliance to be person approved from the SEC Office and whereas flood catastrophe may cause obstacle and inconvenience to auditor, financial advisor and compliance in filing application for extension for approval to become auditor, financial advisor and compliance, the SEC Office hereby issues the following order:

**Clause 1** Approved status of auditor under the Notification of the Office of the Securities and Exchange Commission concerning approval for auditor in the capital market and financial advisor and compliance under the Notification of the Office of the Securities and Exchange Commission concerning approval for financial advisor and scope of operation which will be terminated prior to or within 31 March 2012 shall be extended so that those person shall be approved auditor, financial advisor and compliance by the SEC Office until 31 March 2012.

**Clause 2** This Notification shall come into force as from 26 October 2011.

Notified this 3<sup>rd</sup> day of November 2011.

-signature-

(Vorapol Socratyanurak)

Secretary-General

Office of the Securities and Exchange Commission