

(UNOFFICIAL TRANSLATION)

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**Notification of the Office of the Securities and Exchange Commission
No. SorNor. 17/2555
Re: Rules, Conditions and Procedures for Approval Concerning
Registration of Client's Assets
(No. 2)**

By virtue of Section 138 of the Securities and Exchange Act B.E. 2535 (1992) as amended by the Securities and Exchange Act (No. 2) B.E. 2542 (1999) which contains certain provision relating to restriction of rights and liberties of persons, which Section 29 in conjunction with Section 33, Section 34, Section 41, Section 43, Section 44 and Section 64 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the SEC Office hereby issues the following regulations:

Clause 1. The following provision shall be added as (5) of Clause 3 of the Notification of the Office of the Securities and Exchange Commission No. SorNor. 20/2552 Re: Rules, Conditions and Procedures for Approval Concerning Registration of Client's Assets dated 10 July 2009:

“(5) in case of private fund management which is not provident fund having a policy to invest in securities and assets in foreign country and having limitation causing management company to be unable to register its name as the client's authorized representative, management company may proceed to have its client register the client's name without registering its name [in such securities or assets].”

Clause 2. This Notification shall come into force as from 1 September 2012.

Notified this 9th day of August 2012.

- Signature -
(Vorapol Socratyanurak)
Secretary-General
Office of the Securities and Exchange Commission