

TRANSLATED VERSION

As of August 4, 2014

Readers should be aware that only the original Thai text has legal force and that this English translation is strictly for reference

FORM 92-2 / 18-3

**Application for Establishing Representative Office Abroad
Securities Company / Derivatives Intermediary**

Name of Company:

Date:

Company Letter No.:

Dear Secretary-General
Office of the Securities and Exchange Commission

Subj: Establishment of representative office abroad

The applicant hereby notifies the establishment of a representative office abroad with details as follows:

1. Address:

2. Starting Date of Operation:

3. Details of the Company:

3.1 Scope of operation of the representative office abroad

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3.2 Having a work plan for establishing and managing the representative office abroad. Yes No

3.3 Having a ready working system comprising at least operational management, compliance and information management, and competent personnel. Yes No

I hereby certify that the above statements and the supplementary documentation attached herewith are complete, accurate and true.

Sincerely,

Signature:(authorized person)
for and on behalf of

Contact person:

Phone: E-mail:

Remark: The applicant is required to notify the SEC Office in advance at least five business days before the opening of the representative office abroad.