UNOFFICIAL TRANSLATION

Readers should be aware that only the original Thai text has legal force and that this English translation is strictly for reference.

Notification of the Office of the Securities and Exchange Commission No. Sor Thor. 68/2558 Re: Repeal of the Notification of the Office of the Securities and Exchange Commission regarding Rules for Appointment of Independent Director of Intermediary

By virtue of Clause 5(1) of the Notification of the Capital Market Supervisory Board No. Tor Thor. 35/2556 Re: Standard Conduct of Business, Management Arrangement, Operating Systems, and Providing Services to Clients of Securities Companies and Derivatives Intermediaries dated 6 September 2013, in conjunction with Clause 12(2) of the Notification of the Capital Market Supervisory Board No. Tor Thor. 35/2556 Re: Standard Conduct of Business, Management Arrangement, Operating Systems, and Providing Services to Clients of Securities Companies and Derivatives Intermediaries dated 6 September 2013, as amended by the Notification of the Capital Market Supervisory Board No. Tor Thor. 62/2558 Re: Standard Conduct of Business, Management Arrangement, Operating Systems, and Providing Services to Clients of Securities Companies and Derivatives Intermediaries (No. 4) dated 13 August 2015, the SEC Office hereby issues the following regulations:

Clause 1 The Notification of the Office of the Securities and Exchange Commission No. Sor Thor. 7/2557 Re: Rules on Appointing Independent Director of an Intermediary dated 3 June 2014 shall be repealed.

Clause 2 This Notification shall come into force as from 16 September 2015.

Notified this 13th day of August 2015.

(Rapee Sucharitakul) Secretary-General Office of the Securities and Exchange Commission

