

TRANSLATION

Readers should be aware that only the original Thai text has legal force and that this English translation is strictly for reference.

Notification of the Securities and Exchange Commission

No. Kor Thor. 23/2558

Re: Contract That Is Not Subject to the Derivatives Act B.E. 2546 (2003)

(No. 3)

By virtue of Section 4(3) and Section 9 of the *Derivatives Act B.E. 2546 (2003)*, the Securities and Exchange Commission hereby issues the following regulations:

Clause 1 The following provision shall be added as sub-clause (5) under Clause 2 of the *Notification of the Securities and Exchange Commission No. Kor Yor. 4/2547 Re: Contract That Is Not Subject to the Derivatives Act B.E. 2546 (2003)* dated 23 January 2004:

“(5) derivatives whose product or underlying asset is an agricultural product traded over the counter and conforming to the following criteria:

- (a) being a contract made before 16 December 2015 and having not expired;
- (b) the contract under (a) not being renewed after 16 December 2015.”

Clause 2 This Notification shall come into force as from 16 December 2015.

Notified this 2nd day of December 2015.

Voravidh Champeeratana
Chairman
Securities and Exchange Commission