

(UNOFFICIAL TRANSLATION)

(SEC Logo and contact information)

30 November 2016

Dear Managers:

All securities companies
All derivatives brokers

No. SEC. Nor Por. (Wor) 30/2559 Re: Submission of Daily Status Report

This is in reference to the SEC Office's email dated 28 June 2010, which informed securities companies and derivatives brokers ("intermediaries") of the requirement for submission of the daily status report to the SEC Office in an excel file via email within the next business day of the daily transactions (T+1) for the benefit of prudential supervision which is important and can have an impact on the clearing system.

Please be informed that the SEC Office has now completed the **One-For-All-Reporting System (OFAR)** as a new channel for submitting the daily status report in replacement of the excel-file-via-email method. Intermediaries may submit the daily status report by filling in the online form available on the SEC website or by preparing a text file according to the Enclosures 1 and 2 attached herewith. The OFAR system will be available for a test run during 1-30 December 2016. Thereafter, intermediaries will be required to submit their daily status report via OFAR, starting from the report for the first business day of 2017 (4 January 2017), to be submitted within 5 January 2017.

For further inquiries, please call Mr. Patana Sukhathummo at 0-2263-6257 and Mr. Surasak Ritthongpitak at 0-2695-9693.

Forwarded for your information and compliance.

Yours sincerely,

-Signature-

(Mr. Rapee Sucharitakul)
Secretary-General

Enclosures: 1. Daily Status Report Form
2. Manual for preparation and submission of daily status report in text file via OFAR Version 1.7

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