

Sales Report Form

for the sale of units of foreign collective investment scheme offered in Thailand

Name of foreign collective investment scheme (CIS):

Type of arrangement:

- ASEAN Collective Investment Scheme for non-retail investors (NRI CIS)
- ASEAN Collective Investment scheme for retail investors (retail ASEAN CIS)
- Asia Region Funds Passport (ARFP)
- Foreign ETF

Sales results of the foreign CIS units that have been offered in Thailand :

Balance at the end of the previous calendar year
on 31 December 20_____ units

Subscription units

Redemption units

Balance at the end of the calendar year
on 31 December 20_____ units

Dated this _____ day of _____

Signature: _____

(full name)

(company)

This form shall be signed either by a CIS Operator or an appointed intermediary of the CIS Operator and shall be submitted to the SEC Thailand within 45 days after the last day of each calendar year.