

(Updated as of 2015)

Form 61-1

1. Application for Approval of Capital Market Auditor

Date: Month: Year:

Dear Secretary-General,
Office of the Securities and Exchange Commission

I, Mr./Mrs./Ms.....(FULL NAME),
a certified public accountant No..... working for(NAME OF AUDIT FIRM).....,
wish to apply for an approval of the SEC Office to be an auditor of businesses under the *Securities and Exchange Act B.E. 2535 (1992)* and the *Trust for Transactions in Capital Market B.E. 2550 (2007)*. I hereby attach the following evidentiary documentation in support of my application:

- (1) Certification of the applicant;
- (2) Personal record and details of the applicant;
- (3) Certification of the leader of the auditor firm;
- (4) Profile and details of the audit firm;
- (5) Cover letter for delivery of the evidentiary documentation related to the audit firm;
- (6) Copy of the registration certificate of juristic person / documents related to establishment of a group of persons;
- (7) Copy of the financial statements for the three most recent financial years or a copy of the profit and loss statement for the three most recent years in the case where the audit firm is established in the form of a group of persons;
- (8) Certification and consent letter of the audit firm (Form 61-2);
- (9) Copy of the membership card of the Federation of Accounting Professions (FAP) and evidence on renewal of such membership of the applicant;
- (10) Copy of the certified public accountant license and evidence on renewal of such license;
- (11) Copy of Identification Card;
- (12) Copy of the Shareholders Name List (Form Bor. Or. Jor. 5).

In this regard, I confirm my willingness to provide additional information and facilitate the SEC Office’s officials or assignees in conducting a site visit and inspection over the audit quality control system of the audit firm where I work for, for the benefit of consideration of my application and supervision of my duty performance throughout the approval period.

For your kind consideration.

Yours Sincerely,

Signature:

(.....)

Certified Public Accountant No.: