

**UNOFFICIAL TRANSLATION**

(Revised 2015)

**3. Certification of the Applicant**

Date ..... Month ..... Year .....

Dear Secretary-General of the Office of the Securities and Exchange Commission:

I, .....(applicant’s name)....., a certified public accountant No....., working with .....(name of the audit firm)....., hereby certify as follows:

1. My immediate family members, including spouse and minor children, and I **do not hold any share** of my audit clients or its related entities;
2. My immediate family members, including spouse and children, and I **are not director, executive or representative** of my audit clients or its related entities;
3. I **am not an officer or employee** of my audit clients or its related entities;
4. I have characteristics and qualifications that meet all criteria in the *Notification of the SEC Office regarding Approval of Auditors*;
5. I entirely understand the code of ethics for professional accountants and will perform audit work in compliance with the code;
6. I entirely understand the generally accepted auditing standards and I will perform audit work in compliance with the standards;
7. I entirely understand the Generally Accepted Accounting Principles (GAAP);
8. I entirely understand my responsibilities as specified in the *Securities and Exchange Act B.E.2535 (1992)*, the *Trust for Transactions in Capital Market Act B.E. 2550 (2007)*, and the *Notification of the SEC Office regarding Approval of Auditors* and will perform my work in accordance with such Acts and Notification.

I fully realize that in case I fail to comply with the *Securities and Exchange Act B.E.2535 (1992)*, the *Trust for Transactions in Capital Market Act B.E. 2550 (2007)*, or the *Notification of the SEC Office regarding Approval of Auditors* and fail to cooperate with the SEC Office, the granting of approval this time shall be deemed terminated.

Yours sincerely,

Signature .....,  
(.....)

Certified public accountant No.....  
Name of audit firm.....