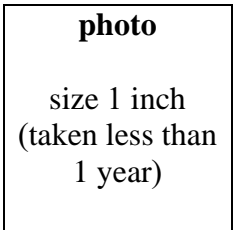


(Updated 2017)



**2. Background and Details of Applicant Requesting Approval**

1. First and last name of the applicant  
 Mr.     Ms.  Mrs.  Others (please specify): .....  
.....
2. Identification Number: .....  
CPA License Number: .....  
Federation of Accounting Professions Membership No: ..... Expiry Date: .....
3. Age:..... years Date of Birth: .....
4. Academic degree(s)  
 Bachelor's degree in..... (FIELDS OF STUDY)..... from ....(INSTITUTION) .....  
(NAME).....  
 Master's degree in ..... (FIELDS OF STUDY)..... from .....(INSTITUTION).....  
(NAME).....  
 Doctoral degree in..... (FIELDS OF STUDY)..... from ..... (INSTITUTION).....  
(NAME).....  
 Other degree(s) (please specify).... (FIELDS OF STUDY)...from...(INSTITUTION)...  
(NAME).....
5. Marital status    Single  Married  Unregistered married  Divorced  Others.....  
Spouse's name: .....  
Spouse's workplace: .....  
Spouse's position: .....  
Child information: number of children: ..... person(s)  

Name of children	Date of Birth	Age (years)	Workplace
(1).....	.....	.....	.....
(2).....	.....	.....	.....
(3).....	.....	.....	.....

(For further details, please attach herewith)
6. Address No..... Building / Residence: ..... Alley: .....  
Road: ..... Sub-district: ..... District: ..... Province: .....  
Telephone: ..... Facsimile: .....  
E-mail address: .....
7. Affiliated with audit firm: .....(NAME).....  
Address No: ..... Building / Residence: ..... Alley: .....  
Road: ..... Sub-district: ..... District: ..... Province: .....  
Telephone: ..... Facsimile: .....E-mail address: .....  
Website /Home Page: .....  
Starting date of affiliation: .....

8. Performing of audit work

- Full-time time used for performing audit work per week ..... hour(s)
  - Non-full-time time used for performing audit work per week ..... hour(s)
- Full-time position and workplace (please specify)
- .....

9. Audit experience of the applicant

(1) Total audit experience: .....year(s)

(1.1)

Since.....(DATE).....to.....(DATE).....

Audit firm: .....duration of employment: .....years

Position: .....

(1.2)

Since.....(DATE).....to.....(DATE).....

Audit firm: .....duration of employment: .....years

Position: .....

(1.3)

Since.....(DATE).....to.....(DATE).....

Audit firm:.....duration of employment: .....years

Position: .....

(For further details, please attach herewith)

(2) Approval date of CPA License: .....

(3) Current position in the affiliated audit firm

Partnership

Other position (please specify):.....

(4) Signature affixed in the audit report of the audit firm's clients since ....(YEAR).....

(5) Signature affixed in the company's audit report pursuant to Clause 3 of the *Notification of the Securities and Exchange Commission concerning Approval of Auditors in the Capital Market* since .....(YEAR) .....

10. Number of companies for which the applicant has affixed signature in giving opinion on their financial statements over the past 5 years:

Year of signature affixed	Number of companies	Affiliated audit firm
(1) .....	.....	.....
(2) .....	.....	.....
(3) .....	.....	.....
(4) .....	.....	.....
(5) .....	.....	.....

(Information must be accurate and identical to the information notified to the Federation of Accounting Professions or justifiable in case of any difference therefrom).

The applicant shall provide details of the company for which the applicant has affixed signature in giving opinions on the financial statements of the latest accounting year. (please use the table attached hereto).

11. In the case where the applicant has affixed signature in giving opinions on the auditing for less than 3 years during a 5-year period prior to application submission, please specify details of the company where the applicant has performed duty as an audit supervisor or a person who reviews audit workpapers before an auditor affixed signature in giving opinions on auditing.

Year of performing duty	Number of companies	Performed duty as	Affiliated audit firm
(1) .....	.....	.....	.....
(2) .....	.....	.....	.....
(3) .....	.....	.....	.....
(4) .....	.....	.....	.....
(5) .....	.....	.....	.....

(Remark: an applicant who has affixed signature in giving opinions on financial statements for less than 3 years during the past 5 years is not required to provide information in this part.)

12. Types of request and application fee

- Approval request fee of 50,000 baht due to the following cases:
  - (1) First time request for approval (the applicant has never requested an approval before);
  - (2) Request for renewal of approval, provided that the SEC Office has never issued any order or disclosed information concerning incompetence in performance of duty or possession of the prohibited characteristics under *the Notification of the Office of Securities and Exchange Commission concerning Approval of Auditors in Capital Market* during the previous approval period;
  - (3) Other requests for approval (which are not subject to a fee of 250,000 baht) which are .....
- Approval request fee of 250,000 baht in the following cases:
  - (1) new request for approval submitted after a previous rejection by the SEC Office;
  - (2) request for renewal of approval or request for approval submitted after a previous approval was suspended, revoked, or executed by the enforceable undertaking, or the SEC Office has disclosed incompetence in performance of duty, as the case may be;
  - (3) request for renewal of approval or request for approval submitted after a previous approval which was given for less than 5 years.

I hereby certify that the foregoing statements are complete and accurate.

Regards,

.....  
 ( )  
 CPA License Number: .....  
 Audit firm:.....  
 Date: .....

