

Unofficial Translation

Readers should be aware that only the original Thai text has legal force, and that this English translation is strictly for reference.

Appendix 1

[Attached to the SEC Office Notification No. Sor Thor. 38/2565]

Glossary

Part 1: Scope

The definitions of the terms hereunder shall apply to the descriptions and definitions of the abbreviations including the terms appearing in the Appendix to the Notification of the Office of the Securities and Exchange Commission regarding Rules in Details on Establishment of IT Systems.

Part 2: Definition

<u>Terms</u>	<u>Definitions</u>
“Use of mobile device”	Any operation that involves the use of mobile device to access critical IT systems through direct connection to the internal computer network of a business operator
“Bring Your Own Device” (BYOD)	The use of personal device to access IT systems including the email system and the meeting schedules of a business operator, either through application, web browser or any other channels
“IT Project Management	An acquisition, development, or change to the IT systems that have significant impacts on the service provision, business operation or IT infrastructure
“Teleworking”	An operation that involves access to a critical IT system without being directly connected to the internal computer network of the business operator
“Software”	Any of the following computer programs: (1) system software such as an operating system or virus detection program; and

<u>Terms</u>	<u>Definitions</u>
	(2) application software such as word processor or online meeting program
“IT Asset”	Hardware, software and data related to business operation including the rights of use thereof such as software license or hardware leasing
“Personnel”	Personnel of a business operator
“Third party”	Any of the following third parties related to a business operator, excluding the clients who use the services or products of the business operator: (1) IT service provider; (2) Person connecting to the IT system of a business operator; (2) Person capable of accessing sensitive data of a business operator or data of the clients who are under supervision of the business operator
“Risk Level Assessment (RLA) form”	A form to assess the risk level of IT systems’ impact on the business operation. The form is as specified on the website of the SEC Office
“Notification No. Sor Thor. 38/2565”	Notification of the Office of the Securities and Exchange Commission regarding Rules in Details on Establishment of IT Systems
“Small-scale business operator”	A business operator with the characteristics of a small-scale business operator as specified in RLA
“Low-risk, medium-risk or high-risk business operator”	A business operator whose assessment results under RLA is in the low-level, medium-level or high-level, as the case may be
“IT service provider”	A third party hired or assigned by a business operator to provide IT services which are otherwise regularly undertaken by the business operator such as an assignment of all operations of the IT department to a group company

<u>Terms</u>	<u>Definitions</u>
“Cyber security threat”	Any unlawful act or operation via the use of computer, computer system or computer program aiming to cause damage to a computer system or an adverse impact on the operation of a computer, computer system or other relevant data
“Critical IT system”	A computer system or network system that would cause a critical impact on the operation or the continuity of the operation, reputations or status of a business operator or the use of services by clients; such as a trading system, a back office system, a data storage system or client data management, an investment management system or an asset storage system, etc.
“IT incident”	An unwanted or unexpected IT incident such as: (1) IT system of a business operator under intrusion or attack (2) intrusion of IT security (3) disruption of IT system resulting in the inability to provide services
“Hardware”	Computer device, network device or other devices related to the IT system of a business operator
“IT”	Information technology
“MFA”	Multi-Factor Authentication
“Non-disclosure agreement”	An agreement for no disclosure of information
“Privileged user”	A user granted the rights of use at a high level